

**JOINT SLAVERY AND HUMAN TRAFFICKING STATEMENT FOR THE  
FINANCIAL YEAR ENDED 31 DECEMBER 2016 ON BEHALF OF  
CHINA CONSTRUCTION BANK (LONDON) LIMITED (“CCBL”)  
AND  
CHINA CONSTRUCTION BANK CORPORATION LONDON BRANCH (“CCBLB”)**

**INTRODUCTION**

In this statement, “we”, “our” and “us” refer collectively to CCBL and CCBLB. CCBC refers to the parent company of CCBL, China Construction Bank Corporation, of which CCBLB is the UK establishment.

We are committed to improving our practices to combat slavery and human trafficking.

**We can confirm that we have never knowingly entered into a business relationship with any company that has been identified as having involvement in human trafficking or modern slavery.**

We have commenced preparation of our Anti-Slavery Policy and have outlined the key aspects of the due diligence process which we propose to introduce in connection with our supply chain and business over the course of 2017. We will be keeping under review the desirability of setting KPIs to monitor success in identifying, assessing and mitigating the risk of slavery and human trafficking within our business and supply chains in future years.

**ORGANISATION STRUCTURE**

CCBL is a UK bank providing financial services in the wholesale sector. CCBL’s ultimate parent company is CCBC which has its head office in China. CCBL had 94 employees as at YE 2016.

CCBLB is the UK establishment of CCBC. CCBLB has no employees in the UK.

**TURNOVER**

CCBL has an annual turnover of USD43,858,000. CCBC has an annual turnover of RMB559,860,000,000.

**OUR BUSINESS**

Our products and services include, but are not limited to, Corporate Banking, Treasury, CNY & GBP Clearing and Investment Banking.

**OUR SUPPLY CHAINS**

Our supply chains include:

(i) **IT** - Our supply chains in the area of IT include suppliers of services and goods for business continuity, internet services, security, core infrastructure, wireless, backup, local production system, monitoring and control, unified communication and core banking. Suppliers of all services are generally remote providers of their service, but may occasionally be involved in the on-site provision of personnel at our location for installation and training purposes.

(ii) **Human Resources** - Our supply chains in the area of HR include suppliers of recruitment, payroll and benefits, personal tax consultancy, employment law advice and immigration consultancy. Of these all are suppliers of services only. All suppliers are remote providers of their service and their personnel visit our location for business meetings only.

(iii) **Property** - Our supply chains in the area of Property include suppliers of cleaning, mechanical and electrical engineering, security, travel, courier and mail, beverage machines, provision of plants, publications, receptionists, taxi and chauffeur, building management, stationery and hospitality (e.g. food and beverages). Of these, there is a mix of service suppliers and goods suppliers. Goods are provided by our suppliers of cleaning, mechanical and electrical engineering, travel, beverage machines, plants, publications, stationery and hospitality. Suppliers of all services other than stationery and hospitality are involved in the on-site provision of personnel at our location. In addition to their on-site provision of staff, suppliers in the areas of cleaning, courier and mail, beverage machines, publications and building management also provide part of their services off-site. Suppliers of stationery and hospitality are remote providers of their service and their personnel do not visit our location other than to make deliveries of the relevant goods.

(iv) **Other** - Our supply chains in areas other than those mentioned above include suppliers of professional consultancy services. All are suppliers of services only. Suppliers of professional consultancy services are involved in the on-site provision of personnel at our location and some are also remote providers of their service.

#### **OUR POLICIES ON SLAVERY AND HUMAN TRAFFICKING**

We are committed to ensuring that there is no modern slavery or human trafficking in our supply chains or in any part of our business. Our Anti-slavery Policy reflects our commitment to acting ethically and with integrity in all our business relationships and to implementing and enforcing effective systems and controls to ensure slavery and human trafficking is not taking place anywhere in our supply chains.

#### **STEPS TAKEN**

We have commenced preparation of our Anti-Slavery Policy. We have commenced the review of existing policies and procedures to identify appropriate modifications in order to identify and mitigate risk in our supply chains and business. We have decided to implement modifying processes across the course of 2017. We have decided to review induction and training requirements.

## KPIs

We are not currently planning to use KPIs specifically designed to monitor success in identifying, assessing and mitigating the risk of slavery and human trafficking within our business and supply chains. However, we are keeping this approach under review for forthcoming years.

This statement has been approved by the Board of Directors of CCBL and the Management Committee of CCBLB and is made pursuant to section 54(1) of the Modern Slavery Act 2015. This constitutes our slavery and human trafficking statement for the financial year ending 2016.



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Mr Biao Li

**CEO of CHINA CONSTRUCTION BANK (LONDON) LIMITED**

**General Manager of CHINA CONSTRUCTION BANK CORPORATION LONDON  
BRANCH**

Date: 10 April 2017