

China Construction Bank Corporation London Branch Suppliers Code of Conduct

China Construction Bank Corporation London Branch (“CCB London” or the “Bank”) is dedicated to making a positive contribution to society through our focus on responsible and ethical business practices, our people and our environment.

This Suppliers Code of Conduct (“**Suppliers Code**”) sets out the Bank’s basic principles and expectations for Suppliers. It is the responsibility of Suppliers to know the requirements of the Suppliers Code and operate in accordance with its principles. Suppliers must be aware of the Supplier Code’s provisions and stay informed of any changes. The most current version is available online on the Bank’s website and effective when posted.

The Suppliers Code does not constitute an employment contract and nothing contained herein is intended to convey any rights, actions, or remedies to Suppliers, or to create an employment relationship between the Supplier or the Supplier’s employees and the Bank.

Doing Business Properly

CCB London works to achieve a competitive advantage through the products and services we offer, not through unethical or illegal business practices or the appearance of such activities.

Anti-Bribery and Corruption

CCB London does not tolerate bribery or corruption in any form. All third parties (including Suppliers and those acting on their behalf) may not directly or indirectly offer, promise, authorise/recommend, give or receive anything of value, if it is intended, or could reasonably appear as intended, to influence improper action or to obtain or retain an improper advantage for the CCB London, the Supplier, or a third party.

Anything of value may include gifts (including cash and cash equivalents), business hospitality (including travel and related expenses, meals, entertainment), training and conferences, contributions to a charitable or political organisation on behalf of another, honoraria and speaker fees, visa letters, offers of employment or other work experience whether paid or unpaid, sponsorships, perks, or discounts. In other words, this includes any benefit that may be offered, given or received that provides any advantage, no matter how large or small, to an individual or entity. It can include non-material, monetary or non-monetary benefits.

111 Old Broad Street, London, EC2N 1AP Tel: +44 (0)20 7038 6000 Fax: +44 (0)20 7038 6001 SWIFT: PCBCGB2B

China Construction Bank Corporation London Branch is the UK establishment of China Construction Bank Corporation, a company incorporated in the People's Republic of China as a joint stock limited company and registered with the Beijing Administration for Industry & Commerce (now known as Beijing Municipal Administration for Market Regulation) under registered number 911100001000044477, with headquarters located at No.25, Finance Street, Xicheng District, Beijing, China, 100033.

China Construction Bank Corporation London Branch is authorised and regulated by the National Administration of Financial Regulation (which replaced the China Banking and Insurance Regulatory Commission) in China. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

China Construction Bank Corporation London Branch has a VAT number of 441706314. www.uk.ccb.com

Suppliers, and those acting on behalf of Suppliers, are prohibited from providing a facilitation payment, usually a small amount of currency or other item or instrument of value, to any government official, for his or her personal benefit to cause the official to perform, or to expedite performance of a routine duty or function that the official is required to perform (e.g. a payment to get through customs quickly).

Suppliers are also responsible for knowing and complying with the anti-bribery and anti-corruption laws in the jurisdictions where the Supplier operates. Suppliers must promptly report any violations or suspected violations that relate to the Bank through either their business contact at CCB London or to the CCB London Chief Compliance Officer at compliance@uk.ccb.com.

The Bank expects all Suppliers to have policies and procedures designed to ensure compliance with the anti-bribery and anti-corruption laws in the jurisdictions in which the Supplier and any sub-contractors operate. CCB London reserves the right to request Suppliers' policies on bribery and corruption.

Charitable Donations, Political Activities and Lobbying

Suppliers must not make charitable donations, political contributions or provide gifts to any candidate for public office, elected officials, political parties or committees on behalf of or as a representative of the Bank. Suppliers must not represent their political views as those of the Bank. Suppliers must not lobby on behalf of CCB London or use Bank resources for political activity.

Conflicts of Interest

Conflicts of interest affect objectivity and impair proper decision-making. The existence of actual, potential or perceived conflicts may also undermine credibility and good judgment.

A conflict of interest may exist when a Supplier is involved in an activity that affects – or could appear to affect – objectivity. Personal or business relationships, outside business activities and personal investments can all pose potential conflicts. CCB London expects Suppliers to appropriately prevent, identify, record and remedy conflicts of interest. In order to assist CCB London to identify and manage such conflicts, Suppliers must disclose actual, potential or perceived conflicts of interest with CCB London. Report conflicts of interest with CCB London to compliance@uk.ccb.com

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Environmental and Social Sustainability

The environmental and social commitment at CCB London is integral to good business practices. Suppliers must comply with all applicable environmental laws and regulations in the countries where the Supplier operates. Suppliers should conduct operations in a manner that protects the environment by making reasonable efforts to meet industry best practices and standards with respect to the reduction of energy use, greenhouse gas emissions, waste and water use. Suppliers must also ensure that potential impacts to community health, safety and security – such as accidents, impacts on natural resources, exposure to pollution or other community issues – that may arise from business operations are appropriately mitigated and managed.

Anti-Slavery

CCB London is committed to combating slavery and human trafficking in our supply chain. Modern slavery is a crime and a violation of fundamental human rights, whether it be slavery, servitude, forced and compulsory labour, bonded labour or human trafficking, all of which have in common the deprivation of a person's liberty by another in order to exploit them for personal or commercial gain. CCB London is committed to ensuring there is transparency in our own business and in our approach to tackling modern slavery throughout our supply chains, consistent with our disclosure obligations under the UK Modern Slavery Act 2015.

CCB London expects the same high standards from all Suppliers with which we do business. The Bank expects all Suppliers to have fully implemented policies and procedures which are designed to and are reasonably expected to prevent slavery, servitude, forced labour, human trafficking or similar practices from occurring within your business or any of your supply chains.

Tax Evasion

CCB London prohibits the facilitation of tax evasion i.e. deliberately and dishonestly taking action to facilitate tax evasion of another taxpayer, and complies with all requirements and obligations set out in UK legislation, regulations, rules and industry guidance aimed at combating tax evasion. CCB London also expects its third parties, including Suppliers, to prohibit tax evasion or the facilitation of tax evasion. The Supplier, or any other entity or individual acting on behalf of the Supplier, must not provide any tax related advice or act as an introducer on behalf of the Bank, nor engage in any activities, practices or conduct which would constitute or facilitate tax evasion.

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Our Suppliers and business partners must have and maintain policies and procedures that are reasonable to prevent the facilitation of tax evasion by another person (including without limitation all employees) and to ensure compliance. Suppliers and business partners must ensure the same of any affiliates, retained agents, subcontractors, intermediaries or workers performing services or providing goods to CCB London. CCB London reserves the right to request Suppliers' policies on tax evasion.

China Construction Bank London Branch
7 September 2023

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